

000FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
REV. 2/01T**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

CUSTOMER NO. 22,852

ATTORNEY'S DOCKET NUMBER  
01435.0213-00000U.S. APPLICATION NO.  
(If known, see 37 CFR 1.5)**20/541366**INTERNATIONAL APPLICATION NO.  
PCT/GB2004/000109INTERNATIONAL FILING DATE  
January 9, 2004PRIORITY DATE CLAIMED  
January 14, 2003

## TITLE OF INVENTION

**POLYMERISATION CATALYSTS**

## APPLICANT(S) FOR DO/EO/US

Vernon Charles GIBSON, Damien Francis REARDON, and Atanas Kostadinov TOMOV

Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed with the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154 (d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☒ Information Disclosure Statement under 37 CFR 1.97 and 1.98
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A Substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154 (d)(4).
19. ☐ A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).
20. ☒ Other items or information:
  - a. ☒ Copy of cover page of International Publication No. WO 2004/063233 A2
  - b. ☐ Copy of Notification of Missing Requirements.
  - c. ☐

JC20 Rec'd PCT/PTO 05 JUL 2005

|  |              |  |   |  |                                 |
|--|--------------|--|---|--|---------------------------------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><div style="font-size: 2em; font-weight: bold; margin-top: 5px;">10/541366</div>  |              | INTERNATIONAL APPLICATION NO.<br>PCT/GB2004/000109                         |   | ATTORNEY'S DOCKET NUMBER<br>01435.0213-00000 |                                 |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> <span style="float: right;"><b>\$300.00</b></span><br>National Stage Search Fee..... <b>\$500.00</b><br>National Stage Examination Fee..... <b>\$200.00</b><br><div style="text-align: right; margin-top: 10px;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>  |              |  |   | Applicant Use<br>\$<br>\$<br>\$<br>\$1000.00 | Office Use Only<br><br><br><br> |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |              |  |   |  |                                 |
| Total Sheets   | Extra Sheets | Number of additional 50 or fraction thereof (rounded up to a whole number) | Rate  |  |                                 |
| 35 - 100 =   | /50=         |  | x 250.00  | \$   |                                 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <span style="float: right;"><input type="checkbox"/> 20 <input type="checkbox"/> 30</span>  |              |  |   | \$   |                                 |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA   | RATE  |  |                                 |
| Total Claims   | 29           | - 20 =   | 9 x \$50.00   | \$450.00                                     |                                 |
| Independent Claims   | 5            | - 3 =  | 2 x \$200.00  | \$400.00                                     |                                 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |  | + \$360.00  | \$360.00                                     |                                 |
| <b>TOTAL OF THE ABOVE CALCULATIONS =</b>   |              |  |   | \$2210.00                                    |                                 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |  |   | \$   |                                 |
| <b>SUBTOTAL =</b>  |              |  |   | \$2210.00                                    |                                 |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)). <span style="float: right;"><input type="checkbox"/> 20 <input type="checkbox"/> 30</span>  |              |  |   | \$   |                                 |
| <b>TOTAL NATIONAL FEE =</b>  |              |  |   | \$2210.00                                    |                                 |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.   |              |  |   | \$   |                                 |
| <b>TOTAL FEES ENCLOSED =</b>   |              |  |   | \$2210.00                                    |                                 |
|  |              |  |   | Amount to be refunded:                       | \$                              |
|  |              |  |   | charged:                                     | \$                              |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>2210.00</u> to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING: Information on this form may become public. Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038. |              |  |   |  |                                 |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.   |              |  |   |  |                                 |
| <b>SEND ALL CORRESPONDENCE TO:</b><br>Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.<br>901 New York Avenue, NW<br>Washington, D.C. 20001-4413   |              |  |   |  |                                 |
| DATED: July 5, 2005  |              |  | <div style="text-align: center;">          SIGNATURE<br/>         Ernest F. Chapman/Reg. No. 25,961<br/>         NAME/REGISTRATION NO.       </div> |  |                                 |